



Attorney's Docket No. 5489.P038X

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Wayne V. Sorin

Application No.: 09/811,365

Filed: March 16, 2001

For: METHODS AND APPARATUSES
FOR MEASURING THE POWER
SPECTRUM OF OPTICAL SIGNALS

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Examiner: Healy, Brian

Art Unit: 2874

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Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

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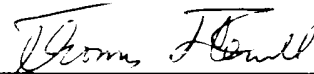
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If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

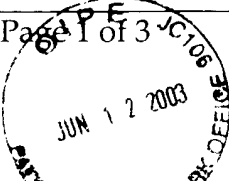
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Dated: 6-9, 2003



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Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)	Attorney Docket No.: 5489.P038X	Application Number: 09/811,365
	First Named Inventor: Wayne V. Sorin	
	Filing Date: March 16, 2001	

U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (If known)			
		4,971,417		Krinsky et al.	11/20/1990	
		6,058,226		Starodubov	5/2/2000	
		5,007,705		Morey et al.	4/16/1991	
		6,181,840	B1	Huang et al.	1/30/2001	
		4,068,191		Zemon et al.	1/10/1978	
		4,832,437		Kim et al.	5/23/1989	
		6,266,462	B1	Kim et al.	7/24/2001	
		5,805,751		Kewitsch et al.	9/8/1998	
		5,652,809		Aronson	7/29/1997	
		6,289,699	B1	Kewitsch et al.	9/18/2001	
		5,708,736		Steinblatt	1/13/1998	
		5,550,940		Vengsarkar et al.	8/27/1996	
		5,022,732		Engan et al.	6/11/1991	

FOREIGN PATENT DOCUMENTS

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		Office ³	Number ⁴	Kind Code ⁵ (If known)				
		TW	382662		National Science Council	2/21/2000		
		EP	0 143 583	A2	Shaw et al.	6/5/1985		
		EP	0 144 190	A2	Shaw et al.	6/12/1985		
		EP	0 221 560	A2	Aberson et al.	5/13/1987		

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Page 2 of 3 	First Named Inventor: Wayne V. Sorin	
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		Number	Kind Code ² (If known)			
		4,781,425		Risk et al.	11/1/1988	
		5,600,466		Tsushima et al.	2/4/1997	
		5,864,414		Barnsley et al.	1/26/1999	
		5,953,470		Toyohara	9/14/1999	
		5,991,476		Baney et al.	11/23/1999	
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		6,292,290	B1	Wan et al.	9/18/2001	
		6,343,165	B1	Kim et al.	1/29/2001	
		6,510,261	B2	Sorin et al.	1/21/2006	
		5,537,238		Janniello et al.	7/16/1996	
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		Office ³	Number ⁴	Kind Code ⁵ (If known)				
		DE	34 30 766	A1	Stocker, Helmut	8/21/1984		
		PCT	US 01/15730		International Search Report	5/29/2002		

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<div style="position: relative; height: 100px;"> <div style="position: absolute; top: 0; left: 0; width: 100%; height: 100%; border: 1px solid black; border-radius: 50%; text-align: center; font-size: 10px; line-height: 1;"> PATENT OFFICE JUN 12 2003 Page 3 of 3 </div> </div>		First Named Inventor: Wayne V. Sorin	
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OTHER ART – NO PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Class No¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation²
		SUGDEN et al., "Fabrication and Characterization of Bandpass Filters Based on Concatenated Chirped Fiber Gratings", Journal of Lightwave Technology, Vol. 15, No. 8, August 1997, pp. 1424-1432.	
		XU et al., "Tunable fibre bandpass filter based on a linearly chirped fibre Bragg grating for wavelength demultiplexing", XP000637908, Electronics Letter, Vol. 32, No. 20, pp. 1918-1919, September 26, 1996.	
		DIMMICK, "All-Fiber Acousto-Optic Tunable Bandpass Filter", Optical Society of America 2000, pp. 3 pgs. total.	
		STARODUBOV et al., "All-Fiber Bandpass Filter with Adjustable Transmission Using Cladding-Mode Coupling", IEEE Photonics Technology Letters, Vol. 10, No. 11, November 1998, pp. 1590-1592.	
		KIM et al., "Single-mode-fiber acousto-optic tunable notch filter with variable spectral profile", Optical Fiber Communication Conference, Optical Society of America Technical Digest Series, Vol. 6, pp. PD7-1-4 February 16-21, 1997	
		VENGSARKAR et al., "Long-period fiber-grating-based gain equalizers", Optics Letters/Vol. 21, No. 5, March 1, 1996, pp. 336-338.	
		TIAN et al., "Interchannel Interference in Multiwavelength Operation of Integrated Acousto-Optical Filters and Switches", Journal of Lightwave Technology, Vol. 13, No. 6, June 1995, pp. 1146-1154.	
		HWANG et al., "All-fiber-optic nonreciprocal modulator", Optics Letters/Vol. 22, No. 8, April 15, 1997, pp. 507-509.	

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